

Australian Standard™

**Compliance programs**



This Australian Standard was prepared by Committee QR-014, Compliance Systems. It was approved on behalf of the Council of Standards Australia on 23 January 2006.

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Australian Competition and Consumer Commission  
Australian Compliance Institute  
Australian Record Industry Association  
Australian Securities and Investments Commission  
Australian Taxation Office  
Consumers' Federation of Australia  
Law Council of Australia  
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## PREFACE

This Standard was prepared by the Standards Australia Committee QR-014, Compliance Systems to supersede AS 3806—1998.

This Standard was initially developed following a request from the Australian Competition and Consumer Commission.

The Standard provides principles for the development, implementation and maintenance of effective compliance programs within both public and private organizations. These principles are intended to help organizations identify and remedy any deficiencies in their compliance with laws, regulations and codes, and develop processes for continual improvement in this area.

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