

Irish Standard I.S. EN ISO/IEC 17020:2012

Conformity assessment - Requirements for the operation of various types of bodies performing inspection (ISO/IEC 17020:2012)

© NSAI 2012

No copying without NSAI permission except as permitted by copyright law.

| Incorporating amendments | /corrigenda/National Anne | xes issued since public | cation: |
|---|--|--|--------------------------|
| | | | |
| The National Standards Author documents: | rity of Ireland (NSAI) produc | ces the following cate | gories of formal |
| I.S. xxx: Irish Standard – subject to public consultation. | national specification base | d on the consensus of | an expert panel and |
| S.R. xxx: Standard Recom panel and subject to public con | mendation - recommendat sultation. | ion based on the cons | ensus of an expert |
| SWiFT xxx: A rapidly develo participants of an NSAI worksh | ped recommendatory docu op. | ment based on the cor | nsensus of the |
| This document replaces: EN ISO/IEC 17020:2004 | | | |
| This document is based on EN ISO/IEC 17020:2012 | : Published: 9 March, 2012 | | |
| This document was publis under the authority of the and comes into effect on: 16 March, 2012 | | | ICS number: 03.120.20 |
| NSAI 1 Swift Square, Northwood, Santry Dublin 9 | T +353 1 807 3800 F +353 1 807 3838 E standards@nsai.ie W NSAI.ie | Sales: T +353 1 857 6730 F +353 1 857 6729 W standards.ie | |
| Údarás u | ım Chaighdeáin Náisiú | nta na hÉireann | |

EUROPEAN STANDARD

EN ISO/IEC 17020

NORME EUROPÉENNE EUROPÄISCHE NORM

March 2012

ICS 03.120.20

Supersedes EN ISO/IEC 17020:2004

English version

Conformity assessment - Requirements for the operation of various types of bodies performing inspection (ISO/IEC 17020:2012)

Évaluation de la conformité - Exigences pour le fonctionnement de différents types d'organismes procédant à l'inspection (ISO/IEC 17020:2012)

Konformitätsbewertung - Anforderungen an den Betrieb verschiedener Typen von Stellen, die Inspektionen durchführen (ISO/IEC 17020:2012)

This European Standard was approved by CEN on 18 February 2012.

CEN and CENELEC members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration. Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the CEN-CENELEC Management Centre or to any CEN and CENELEC member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CEN and CENELEC member into its own language and notified to the CEN-CENELEC Management Centre has the same status as the official versions.

CEN and CENELEC members are the national standards bodies and national electrotechnical committees of Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and United Kingdom.





CEN-CENELEC Management Centre Avenue Marnix 17, B-1000 Brussels

EN ISO/IEC 17020:2012 (E)

| Contents | Pag | |
|----------|-----|--|
| | | |
| Foreword | 3 | |

EN ISO/IEC 17020:2012 (E)

Foreword

This document (EN ISO/IEC 17020:2012) has been prepared by Technical Committee ISO/CASCO "Committee on conformity assessment" in collaboration with Technical Committee CEN/CLC/TC 1 "Criteria for conformity assessment bodies" the secretariat of which is held by BSI.

This European Standard shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by September 2012, and conflicting national standards shall be withdrawn at the latest by September 2012.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN [and/or CENELEC] shall not be held responsible for identifying any or all such patent rights.

This document supersedes EN ISO/IEC 17020:2004.

According to the CEN/CENELEC Internal Regulations, the national standards organizations of the following countries are bound to implement this European Standard: Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and the United Kingdom.

Endorsement notice

The text of ISO/IEC 17020:2012 has been approved by CEN as a EN ISO/IEC 17020:2012 without any modification.

This is a free page sample. Access the full version online.

I.S. EN ISO/IEC 17020:2012

This page is intentionally left BLANK.

This is a free page sample. Access the full version online.

I.S. EN ISO/IEC 17020:2012 INTERNATIONAL STANDARD

17020

Second edition 2012-03-01

Conformity assessment — Requirements for the operation of various types of bodies performing inspection

Évaluation de la conformité — Exigences pour le fonctionnement de différents types d'organismes procédant à l'inspection



ISO/IEC 17020:2012(E)



COPYRIGHT PROTECTED DOCUMENT

© ISO 2012

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from either ISO at the address below or ISO's member body in the country of the requester.

ISO copyright office
Case postale 56 • CH-1211 Geneva 20
Tel. + 41 22 749 01 11
Fax + 41 22 749 09 47
E-mail copyright@iso.org
Web www.iso.org

Published in Switzerland

ISO/IEC 17020:2012(E)

| Contents | Page |
|----------|------|
| | |

| Forewo | ord | iν |
|--|---|----------------------------|
| Introductionv | | |
| 1 | Scope | .1 |
| 2 | Normative references | . 1 |
| 3 | Terms and definitions | .1 |
| 4 4.1 4.2 | General requirements | . 3 |
| 5 5.1 5.2 | Structural requirements | . 4 |
| 6 6.1 6.2 6.3 | Resource requirements | . 5 . 6 |
| 7 7.1 7.2 7.3 7.4 7.5 7.6 | Process requirements Inspection methods and procedures Handling inspection items and samples Inspection records Inspection reports and inspection certificates Complaints and appeals Complaints and appeals rocess | .8 .9 .9 .9 |
| 8 8.1 8.2 8.3 8.4 8.5 8.6 8.7 | Management system requirements Options Management system documentation (Option A) Control of documents (Option A) Control of records (Option A) Management review (Option A) Internal audits (Option A) Corrective actions (Option A) Preventive actions (Option A) | 11 12 12 13 13 |
| Annex | A (normative) Independence requirements for inspection bodies | 15 |
| Annex | B (informative) Optional elements of inspection reports and certificates | 17 |
| Bibliog | raphy | 18 |

ISO/IEC 17020:2012(E)

Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work. In the field of conformity assessment, the ISO Committee on conformity assessment (CASCO) is responsible for the development of International Standards and Guides.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

Draft International Standards are circulated to the national bodies for voting. Publication as an International Standard requires approval by at least 75 % of the national bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.

ISO/IEC 17020 was prepared by the ISO Committee on conformity assessment (CASCO).

It was circulated for voting to the national bodies of both ISO and IEC, and was approved by both organizations.

This second edition cancels and replaces the first edition (ISO/IEC 17020:1998), which has been technically revised.

ISO/IEC 17020:2012(E)

Introduction

This International Standard has been drawn up with the objective of promoting confidence in bodies performing inspection.

Inspection bodies carry out assessments on behalf of private clients, their parent organizations, or authorities, with the objective of providing information about the conformity of inspected items with regulations, standards, specifications, inspection schemes or contracts. Inspection parameters include matters of quantity, quality, safety, fitness for purpose, and continued safety compliance of installations or systems in operation. The general requirements with which these bodies are required to comply in order that their services are accepted by clients and by supervisory authorities are harmonized in this International Standard.

This International Standard covers the activities of inspection bodies whose work can include the examination of materials, products, installations, plants, processes, work procedures or services, and the determination of their conformity with requirements and the subsequent reporting of results of these activities to clients and, when required, to authorities. Inspection can concern all stages during the lifetime of these items, including the design stage. Such work normally requires the exercise of professional judgement in performing inspection, in particular when assessing conformity with general requirements.

This International Standard can be used as a requirements document for accreditation or peer assessment or other assessments.

This set of requirements can be interpreted when applied to particular sectors.

Inspection activities can overlap with testing and certification activities where these activities have common characteristics. However, an important difference is that many types of inspection involve professional judgement to determine acceptability against general requirements, for which reason the inspection body needs the necessary competence to perform the task.

Inspection can be an activity embedded in a larger process. For example, inspection can be used as a surveillance activity in a product certification scheme. Inspection can be an activity that precedes maintenance or simply provides information about the inspected item with no determination of conformity with requirements. In such cases, further interpretation might be needed.

The categorization of inspection bodies as type A, B or C is essentially a measure of their independence. Demonstrable independence of an inspection body can strengthen the confidence of the inspection body's clients with respect to the body's ability to carry out inspection work with impartiality.

In this International Standard, the following verbal forms are used:

| — | "shall" indicates a requirement; |
|---|--|
| | "should" indicates a recommendation; |
| | "may" indicates a permission; |
| | "can" indicates a possibility or a capability. |

This is a free page sample. Access the full version online.

I.S. EN ISO/IEC 17020:2012



Product Page

- Dooking for additional Standards? Visit Intertek Inform Infostore
- Dearn about LexConnect, All Jurisdictions, Standards referenced in Australian legislation